

EAST SUSSEX FIRE AUTHORITY

Meeting	Scrutiny & Audit Panel
Date	20 January 2022
Title of Report	Corporate Risk Register Review - Quarter 3 2021/22
By	Assistant Director Resources/Treasurer
Lead Officer	Alison Avery, Finance Manager and Ellen Williams Risk & Insurance Officer

Background Papers	Scrutiny and Audit Panel, 11 November 2021 – Corporate Risk Register Review Quarter 2 2021/22
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Appendices	Appendix 1 - RAID Log Scoring Matrix Appendix 2 - Corporate Risk Register updated for Quarter 3
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Implications

CORPORATE RISK	✓	LEGAL	
ENVIRONMENTAL		POLICY	
FINANCIAL		POLITICAL	
HEALTH & SAFETY		OTHER (please specify)	
HUMAN RESOURCES		CORE BRIEF	
EQUALITY IMPACT ASSESSMENT			

PURPOSE OF REPORT	To report on the latest quarterly review of Corporate Risk Register
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EXECUTIVE SUMMARY	This report discusses the third quarter position. It details the business risks identified and how they have or are being mitigated.
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It has been previously agreed that a corporate risk be added in relation to the Grenfell Tower Public Inquiry – non-compliance (CR16) and this continues to be monitored closely but remains a red rated risk.

Progress updates against CR14 – Health and Safety non-compliance have been provided as this is the only other red rated risk on the Register. Actions continue to be progressed and the Register has been updated to reflect actions already taken and

those that are planned in order to mitigate the risk. At present the mitigated risk score has not been revised, as the new mitigations/actions are unlikely to have a significant impact before the end of February 2022, at which time the score will be revisited.

The risk scoring for CR5 Failure to Mobilise has been reduced from Moderate to Tolerable following the successful go live of Project 21.

Risks are scored against a 4x4 scoring matrix as shown in Appendix 1.

The review of corporate risks is an ongoing process and reports are presented on a quarterly basis. The updated position is shown in Appendix 2, with revisions shown in bold.

RECOMMENDATION

Panel is recommended to:

- a) Note and approve the Q3 Corporate Risk Register including updates made since Quarter 2; and
- b) Identify any further information or assurance required from Officers.

1. INTRODUCTION

- 1.1 This report brings the third quarter Corporate Risk Register. Corporate business risks are considered by SLT quarterly and reported to Scrutiny and Audit Panel for consideration.

2. CHANGES/UPDATES SINCE QUARTER 2

- 2.1 All Corporate Risk Owners have been requested to update their risks in terms of new mitigations and actions taken since the last formal review at the end of September 2021. All risks have been updated as appropriate, with further/updated actions recorded in bold in Appendix 2.

2.2 **CR16 - Grenfell Tower Public Inquiry Non-compliance**

- 2.2.1 As previously noted, this risk was identified following the publication of the Grenfell Tower Inquiry Report, Phase 1 in 2019, which made a number of recommendations in relation to high rise buildings with ACM cladding.

This risk recognises the corporate risk of not complying with these recommendations and the recommendations include the requirement to ensure that there are appropriate improvements in call handling and operational response for such buildings and that sufficient resource is available to action the recommendations made.

This risk continues to be monitored closely, actions which have been taken together with further actions to mitigate the risk are recorded in bold on the entry for CR16 on the Corporate Risk Register.

2.3 CR14 - Health and Safety Non-compliance

2.3.1 CR14 Health and Safety Non-compliance is the only other outstanding red rated risk.

Good progress has been made in relation to this risk, with the closure of 35% of the original actions. At this stage it is not felt that it would be appropriate to reduce the risk scoring.

There were 176 outstanding actions at the end of December 2021. The target is to close a further 125 (71%) of these actions by the end of February 2022.

To facilitate this, staff resources have been allocated at Group Manager level; regular meetings are being held with responsible officers and regular meetings will be scheduled with Health & Safety officers in order to discuss progress. Reports will be submitted to SLT on a monthly basis.

With a plan to reduce the number of actions it is anticipated that the risk score can be reduced for the next quarter's report

2.4 CR5 – Failure to Mobilise Effectively

2.4.1 Following go live of Joint Fire Control this risk has been reviewed and reduced from Moderate to Tolerable.

3. FUTURE REPORTING/DECISION

3.1 It is intended that each Corporate Risk Owner be asked to formally review their risk scores in light of completed mitigations/actions before the next review. This will determine if the risk rating is still appropriate and whether as such the risk should still be included in the Corporate Risk Register.

3.2 Those risks which are now considered 'green' rated i.e. 'tolerable' should be considered for removal from the Corporate Risk Register and be monitored at an operational level instead.